



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2121 #3  
RECEIVED  
OCT 17 2001  
Group 2100

In re application of: William J. Armstrong et al. : Date: October 9, 2001  
Group Art Unit: 2121 : IBM Corporation  
Examiner: Unassigned : Intellectual Property Law  
Serial No.: 09/939,235 : Dept. 917, Bldg. 006-1  
Filed: August 24, 2001 : 3605 Highway 52 North  
Title: YIELD ON MULTITHREADED : Rochester, MN 55901-7829  
PROCESSORS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/939,235  
Docket No. ROC920010252US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: October 9, 2001

Respectfully submitted,

RECEIVED  
OCT 17 2001  
Group 2100

WILLIAM J. ARMSTRONG ET AL.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

October 9, 2001

(Date of Deposit)

Steven W. Roth

By

Steven W. Roth

Steven W. Roth, Attorney  
Registration No. 34,712

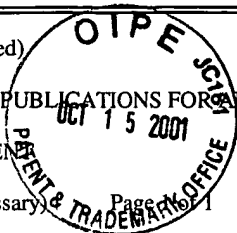
Telephone: (507) 253-1600

Fax: (507) 253-2382

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920010252US1SERIAL NO.  
09/939,235APPLICANT:  
William J. Armstrong et al.FILING DATE:  
August 24, 2001GROUP:  
2121

RECEIVED  
OCT 17 2001  
Group 2100

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA	5 6 5 9 7 8 6	8/19/1997	George et al.	395	653	
AB	4 8 4 3 5 4 1	6/27/1989	Bean et al.	364	200	
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
					YES NO
AL					
AM					
AN					
AO					
AP					

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	U.S. Patent Application Serial No. 09/672,043, entitled "Technique for Configuring Processors in System with Logical Partitions," filed September 29, 2000.
AS	U.S. Patent Application Serial No. 09/838,057, entitled "Method and Apparatus for Allocating Processor Resources in a Logically Partitioned Computer System," filed April 19, 2001.
AT	U.S. Patent Application Serial No. 09/939,232, entitled "System for Yielding to a Processor," filed August 24, 2001.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920010252US1SERIAL NO.  
09/939,235APPLICANT:  
William J. Armstrong et al.FILING DATE:  
August 24, 2001GROUP:  
2121RECEIVED  
OCT 17 2001  
Group 2100

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	BA					
	BB					
	BC					
	BD					
	BE					
	BF					
	BG					
	BH					
	BI					
	BJ					
	BK					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	BL						
	BM						
	BN						
	BO						
	BP						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BR	IBM Corporation, S/390 Processor Resource/Systems Manager Planning Guide (IBM Pub. No. GA22-7236-04, 5 <sup>th</sup> Edition, March 1999)
BS	
BT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.